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06-05-2006

Date

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.8/	Application Number	10/565,576	
TRANSMITTAL	Filing Date	Jan. 23, 2006	
FORM	First Named Inventor	Tetsuya YOSHIDA	
(to be used for all correspondence after initial filing)	Art Unit	1772	
	Examiner Name		
Total Number of Pages in This Submission	Attorney Docket Number	1217-060100	

ENCLOSURES (Check all that apply)								
☐ Fee Transmittal Form ☐ Fee Attached ☐ Amendment/Reply ☐ After Final ☐ Affidavits/declaration(s) ☐ Extension of Time Request	□ Drawing(s) □ Licensing-related Papers □ Petition □ Petition to Convert to a Provisional Application □ Power of Attorney, Revocation Change of Correspondence Address	 □ After Allowance communication to Technology Center (TC) □ Appeal Communication to Board of Appeals and Interferences □ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) □ Proprietary Information □ Status Letter 						
□ Express Abandonment Request ☑ Information Disclosure Statement	□ Terminal Disclaimer □ Request for Refund	☐ Other Enclosure(s) (please identify below)						
☐ Certified Copy of Priority Documents ☐ Response to Missing Parts/ Incomplete Application ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53	CD, Number of CD(s)Remarks							
SIGNATU	IRE OF APPLICANT, ATTORNEY, OR A	AGENT						
Firm or The Webb Law Firm Individual name Signature Date June 5, 2006								
CEF	RTIFICATE OF TRANSMISSION/MAILIN	G						
I hereby certify that this correspondence is be sufficient postage as first class mail in an enverthe date shown below. Typed or printed name Diane Paull	ng facsimile transmitted to the USPTO or deposited lope addressed to: Commissioner for Patents, P.O	d with the United States Postal Service with . Box 1450, Alexandria, VA 22313-1450 on						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Appl. No. 10/565,576 IDS dated June 5, 2006 Attorney Docket No. 1217-060100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

:

10/565,576

Confirmation No. 2349

Ápplicants

Tetsuya YOSHIDA et al.

Filed

JUN 0 8 2006

January 23, 2006

Title

Fluid Colloid Crystal and Process for Producing

Three-Dimensional Aligned Particle Mass Therefrom

Art Unit

1772

Examiner

Customer No.

28289

INFORMATION DISCLOSURE STATEMENT TRANSMITTED BEFORE MAILING OF FIRST OFFICE ACTION

MAIL STOP AMENDMENT **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby submit this Information Disclosure Statement together with a completed Form PTO/SB/08a.

The five Japanese references cited on page 1 of the attached Form PTO/SB/08a are cited on pages 3-4, 6-7 and 12-13 of the specification. Copies of these references are enclosed along with an English-language Abstract thereof.

This application is the United States national phase of PCT Application No. PCT/ JP04/010927. The International Search Report dated November 2, 2004, for the underlying PCT application identified the remaining references listed on pages 2 and 3 of attached Form PTO/SB/08a. Japanese reference JP 2002-527335 is equivalent to U.S. Patent No. 6,261,469, which is also listed on page 2 of Form PTO/SB/08a. Copies of these references are also being submitted with this Information Disclosure Statement, with the exception of the United States patent. The degree of relevance for the non-English language references listed on pages 2-3 of attached Form PTO/SB/08a is indicated in the International Search Report. A copy of the International Search Report is enclosed for the Examiner's convenience.

I hereby certify that this correspondence is be the United States Postal Service with sufficien class mail in an envelope addressed to C Patents, P.O. Box 1450, Alexandria, Virginia June 5, 2006.	nt postage as first Commissioner for
Diane Paull	
(Name of Person Mailing De	ocument)
Signature Paul	06/05/2006 Date

{W0272357.1}

Appl. No. 10/565,576 IDS dated June 5, 2006 Attorney Docket No. 1217-060100

No fee is believed to be due for the filing of this Information Disclosure Statement as it is being submitted before a first Office Action on the merits. Nevertheless, the Commissioner for Patents is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. This Information Disclosure Statement is submitted in duplicate.

Respectfully submitted,

THE WEBB LAW FIRM

Kent F Baldau

Registration No. 25,826 Attorney for Applicants 700 Koppers Building 436 Seventh Avenue Pittsburgh, PA 15219

Telephone: 412-471-8815 Facsimile: 412-471-4094

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work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO Application Number 10/565,576 INFORMATION DISCLOSURE Jan. 23, 2006 Filing Date STATEMENT BY APPLICANT First Named Inventor Tetsuya YOSHIDA Art Unit 1772 (Use as many sheets as necessary) Examiner Name 3 Attorney Docket Number 1217-060100 Sheet of

			U.S. PATENT DO	CUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
		Number - Kind Code ^{2 (if known)}			Passages or Relevant Figures Appear
		US-			
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			FOREIG	N PATENT I	OCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		JP(2001) 2001-206719	Α	07-31-2001	Sato et al.	English Abstract attached	
		JP(2001) 2001-239661	Α	09-04-2001	Satoshi	English Abstract attached	
		JP(1992) 4-21334	Α	08-04-1992	Takashi	English Abstract attached	
		JP(2004) 2003-059210	Α	09-30-2004	Shinichi et al.	English Abstract attached	
		JP(2004) 2003-073123	Α	10-07-2004	Tetsuya et al.	English Abstract attached	
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Examiner	Date	
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Substitute for form	1449A/PTO			Comple	ete if Known
				Application Number	10/565,576
_		DISCLOS	Filing Date	Jan. 23, 2006	
STATEMENT BY APPLICANT			First Named Inventor	Tetsuya YOSHIDA	
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(Use as many sheets as necessary)				Examiner Name	
Sheet	2	of	3	Attorney Docket Number	1217-060100

	- : -	U.S. PATENT DO	OCUMENTS	
Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
	Number - Kind Code ^{2 (if known)}			Passages or Relevant Figures Appear
	US- 6,261,469 B1	07-17-2001	Zakhidov et al.	
 	US-			
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			FOREIC	N PATENT I	OCUMENTS		
Examiner Initials*	Cite Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
		JP(2002) 2002-128600	A	05-09-2002	Takashi	English Abstract attached	
		JP(1992) 4-213334	Α	08-04-1992	Takashi	English Abstract attached	
		JP(2003) 2003-98367	Α	04-03-2003	Masashi	English Abstract attached	
		JP(2002) 2002-527335T	Α	08-27-2002	Zakhidov et al.	U.S. equivalent patent	
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Substitute for fo	rm 1449B/PTO			Complete	e if Known
				Application Number	10/565,576
INFOR	EMATION	DISCLO	SURE	Filing Date	Jan. 23, 2006
STATI	STATEMENT BY APPLICANT			First Named Inventor	Tetsuya YOSHIDA
				Art Unit	1772
	(Use as many shee	ts as necessary)		Examiner Name	
Sheet	3	of	3	Attorney Docket Number	1217-060100

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
		YOSHIDA, TETSUYA et al., "Alignment Control of Monodispersed Fine Particles for Application in Structural Color Materials", <i>The Institute of Electronics, Information and Communication Engineers</i> , Technical Report of IEICE, EID2002-131, 2003-03, pp. 25-28, Vol. 102, No. 698 (4 pp.); ENGLISH TRANSLATION (10 pp.)					
		YOSHIDA, TETSUYA et al., "Application to the Structural Color Materials for Arrangement Control of Monodisperse Acrylic Fine Particles", <i>Kai Polymer Zairyo Forum Yoshishu</i> , Oct. 15, 2002, pp. 269-270 (2 pp.); ENGLISH TRANSLATION (6 pp.)					

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Signature	Consider the Considering Consi	ered	

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